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The content of this paper is an excerpt from [Anders et al. 2013].

## Predicting Power Plant Behavior by Means of Trust Values

Because an interaction can last multiple time steps, we define a contract  $C_t^i = (c_{t+0}^i, ..., c_{t+n}^i)$  as an (n+1)-tuple that comprises multiple stipulated results  $c_{t+j}^i$ , where  $j, n, t \in \mathbb{N}_0^+$ ,  $i \in \mathbb{N}^+$  is a unique identifier, and t+j identifies the time step in which the interaction partner should behave as stated in  $c_{t+j}^i$ . t and t+n thus specify the time frame in which  $C_t^i$  is valid. With respect to power, i.e., residual load, predictions in AVPPs, n+1 is the length of the prediction. For instance, if the power prediction covers a time frame of 8 hours in which the residual load is predicted in 15 minute intervals, we have n+1=32. In the following, let  $[X]_j$  denote the j-th element of a tuple X. An atomic experience  $e_{t+j}^i = (c_{t+j}^i, r_{t+j}^i)$  is a 2-tuple, consisting of the stipulated result  $c_{t+j}^i$  and the actual result  $r_{t+j}^i$ . An atomic experience  $e_{t+j}^i = (7 \text{ MW}, 8 \text{ MW})$  with an AVPP's residual load states that a residual load of 7 MW was stipulated for time step t+j, but 8 MW were measured. Consequently, an experience  $E_t^i = (e_{t+0}^i, ..., e_{t+n}^i)$  is an (n+1)-tuple of atomic experiences  $e_{t+j}^i = [E_t^i]_j$ , and t+j is the time step in which  $[E_t^i]_j$  was gained. Contracts  $C_t^i$  and experiences  $E_t^i$  comprise n+1 so-called  $time\ slots$ , e.g.,  $[E_t^i]_j$  was gained in the j-th time slot.

If an agent a evaluates the trustworthiness of an agent b, it uses a trust metric  $\mathcal{M}$ :  $\mathcal{E} \times ... \times \mathcal{E} \to \mathcal{T}$  to evaluate a number of experiences with b ( $\mathcal{E}$  is the domain of experiences). The metric returns a trust value  $\tau \in \mathcal{T}$  and relies on a rating function  $\mathcal{R}: \epsilon \to \mathcal{T}$  that appraises atomic experiences ( $\epsilon$  is the domain of atomic experiences). The result of  $\mathcal{R}$  is a rating  $\pi \in \mathcal{T}$ .  $\mathcal{T}$  usually is an interval [0,1] or [-1,1]. Regarding  $\mathcal{T}=[0,1]$ , a trust value  $\tau=0$  or  $\tau=1$  states that agent b either never or always behaves beneficially [?]. However, b behaves predictably in both cases. If the trust value is around the interval's midpoint, b's behavior is highly unpredictable and thus induces a high level of uncertainty.

Because the residual load can be over- or underestimated, we use  $\mathcal{T} = [-1, 1]$  so that positive and negative deviations from predictions can be captured. A rating  $\pi = 0$  states that the residual load is predicted exactly, whereas  $\pi = -1$  or  $\pi = 1$  state that the residual load is greatly under- or overestimated (i.e., the actual residual load is far higher or lower than predicted).

A trust value has to be semantically sound to allow valid predictions of an agent's future behavior. This property depends on the metric  $\mathcal{M}$ .  $\mathcal{M}$  can, e.g., calculate the mean deviation between the stipulated  $c^i_{t+j} \in \mathbb{R}$  and actual result  $r^i_{t+j} \in \mathbb{R}$  of atomic experiences  $[E^{i_h}_{t_h}]_j$  contained in a list of m experiences  $E^{i_1}_{t_1}, ..., E^{i_m}_{t_m}$  ( $k \in \mathbb{R}$  equals the maximum possible or, if not available, observed deviation from a contract and thus normalizes the result to a value in [-1,1]):

$$\mathcal{M}(E_{t_1}^{i_1}, ..., E_{t_m}^{i_m}) = \frac{\sum_{h=1}^m \sum_{j=0}^n \mathcal{R}([E_{t_h}^{i_h}]_j)}{m \cdot (n+1)}; \ \mathcal{R}([E_t^i]_j) = \frac{c_{t+j}^i - r_{t+j}^i}{k}$$
(1)

Based on  $\mathcal{M}$ , a trust value  $\tau$ , and a contract  $C_t^i$ , an agent can predict the expected behaviors  $B_t^i = (b_{t+0}^i, ..., b_{t+n}^i)$  of its interaction partner during  $C_t^i$ 's validity. With respect to Eq. 1, the agent's expected behavior  $[B_t^i]_j$  in time step t+j is defined as the difference between  $[C_t^i]_j$  and the expected deviation  $\tau \cdot k$ :

$$[B_t^i]_j = [C_t^i]_j - \tau \cdot k \tag{2}$$

For example, if k=10 MW,  $\tau=0.1$ , and the power prediction's stipulated results are  $C_t^i=(5 \text{ MW}, 6 \text{ MW})$ , the expected residual load can be predicted as  $B_t^i=(4 \text{ MW}, 5 \text{ MW})$ . If the AVPP schedules its subordinate controllable power plants on the basis of  $B_t^i$  instead of  $C_t^i$ , it is expected that the deviation between the power plants' output and the actual residual load can be decreased. However, the prediction of the residual load's future behavior with Eq. 2 can be imprecise because we disregard that an agent's behavior can be arbitrary and that it might be time-dependent. Since agents can behave arbitrarily, one and the same trust value can stem from very different experiences, e.g.,  $\tau=0.1$  could be based on experiences in which the residual load was always 1 MW lower than stipulated or a situation in which 25% of the predictions were overestimated by 2 MW and 75% of the predictions were underestimated by -2 MW. With regard to time-dependent behavior, the prediction of the residual load for a time step t could, e.g., tend to be rather precise if the prediction for the previous time step t-1 is accurate. A similar dependence could exist for inaccurate predictions.

## References

[Anders et al. 2013] Gerrit Anders, Florian Siefert, Jan-Philipp Steghöfer, and Wolfgang Reif. 2013a. Trust-Based Scenarios – Predicting Future Agent Behavior in Open Self-Organizing Systems. In Self-Organizing Systems – Proc. of the 7th International Workshop on Self-Organizing Systems (IWSOS 2013) (Lecture Notes in Computer Science), Wilfried Elmenreich, Falko Dressler, and Vittorio Loreto (Eds.), Vol. 8221. 90–102.